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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

# REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

Address to:  
Commissioner for Patents  
Box RCE  
Washington, DC 20231

Application Number	10/004,474
Filing Date	10/23/2001
First Named Inventor	John R. Liddicoat et al.
Art Unit	3731
Examiner Name	Julian W. Woo
Attorney Docket Number	VIA-12

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

## 1. Submission required under 37 CFR 1.114

- a. ☐ Previously submitted
- i. ☐ Consider the amendment(s)/reply under 37 CFR 1.116 previously filed on \_\_\_\_\_  
(Any unentered amendment(s) referred to above will be entered).
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_
- iii. ☐ Other \_\_\_\_\_
- b. ☒ Enclosed
- i. ☒ Amendment/Reply
- ii. ☐ Affidavit(s)/Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☐ Other

## 2. Miscellaneous

- a. ☐ Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)
- b. ☐ Other \_\_\_\_\_

## 3. Fees

The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.

- a. ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 16-0221
- i. ☐ RCE fee required under 37 CFR 1.17(e)
- ii. ☐ Extension of time fee (37 CFR 1.136 and 1.17)
- iii. ☒ Other any deficiencies
- b. ☒ Check in the amount of \$ 385.00 enclosed
- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print/Type)	Scott R. Foster	Registration No. (Attorney/Agent)	20,570
Signature		Date	08/31/2004

## CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: BOX RCE, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450 on the date shown below.

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EC/VIA12.RCE

VIA-12

09/03/2004 ANR811 00000095 10004474 385.00 DP 01 FC:2001



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: John R. Liddicoat et al.  
Serial No.: 10/004,474  
Filing Date: 10/23/2001  
For: AUTOMATED ANNULAR PLICATION FOR MITRAL  
VALVE REPAIR

Group Art Unit: 3731

Examiner: Julian W. Woo

Attorney's Docket No.: VIA-12

Commissioner for Patents

P.O. Box 1450

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August 31, 2004

(DATE OF DEPOSIT)

Scott R. Foster

(NAME OF ATTORNEY)

(SIGNATURE)

August 31, 2004

(DATE OF SIGNATURE)

Sir:

AMENDMENT

This is in response to the Official Action currently  
outstanding with respect to the above-identified patent  
application.

Please amend the application as follows:

VIA-12